

 <p><b>Financial Assistance Award</b></p> <p><b>DENALI COMMISSION</b>          510 L Street, Suite 410          Anchorage, Alaska 99501          (907) 271-1414 (phone)          (907) 271-1415 (fax)          www.denali.gov</p>		<b>Award Number</b>	01174-00		
		<b>Award Title</b>	ANTHC Primary Care Facilities - FY 2009		
		<b>Performance Period</b>	September 1, 2009 through December 31, 2012		
<b>Authority</b> 112 Stat 1854		<b>CFDA Number</b> 90.100		<b>Recipient Organization &amp; Address</b> Alaska Native Tribal Health Consortium 1901 Bragaw St Suite 200 Anchorage, AK 99508-3440  <b>Phone:</b> (907) 729-3601 <b>Recipient DUNS #</b> 071375658 <b>TIN #</b> 920162721	
<b>Denali Commission Finance Officer Certification</b>		Jennifer Price 09/03/2009			
<b>Cost Share Distribution Table</b>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000AL	\$4,322,403.00		\$0.00		\$4,322,403.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
Various Match		\$664,480.00		\$0.00	\$664,480.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
<b>Total</b>	<b>\$4,322,403.00</b>	<b>\$664,480.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,986,883.00</b>
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
Award Conditions to the Financial Assistance Award Between the Denali Commission and The Alaska Native Tribal Health Consortium For Health Facilities Planning, Design and Construction - Award No. 01174					
Continued on the following pages.					
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed			<b>Typed Name and Title</b> George Cannelos Federal Co-Chair		<b>Date</b> 08/27/2009

# AWARD ATTACHMENTS

Alaska Native Tribal Health Consortium

01174-00

1. FY2009 Health Facilities Planning, Design and Construction - Award Conditions

***Award Conditions to the Financial Assistance Award  
Between the Denali Commission and  
The Alaska Native Tribal Health Consortium  
For Health Facilities Planning, Design and Construction  
Award No. 01174  
August 2009***

***1. Scope of Work***

The Denali Commission (Commission) is providing a total of \$4,322,403 to the Alaska Native Tribal Health Consortium (ANTHC) for primary care facility planning, design and construction. Commission funds and match funds will be managed by ANTHC. Projects are authorized to proceed only with the execution of a Project Authorization by the Commission. Project Authorizations will detail the funds allocated to the various components of project scope, such as design, construction, and project management fees.

This grant award will fund a variety of projects, including construction projects. Required cost share match for those construction projects will be a minimum of \$664,480, from various sources. Cost share match on authorized projects will be detailed as they are approved to move forward to construction, using the Project Authorization vehicle.

This grant is issued in accordance with and governed by the Memorandum of Understanding for FY 2009 between the Commission and ANTHC. It is hereby incorporated by reference.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the full scope of work has been completed will be returned to the Denali Commission.

***2. Milestones***

Project milestones are identified as the major steps and phases to be completed as the project progresses. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestone dates for projects are defined via the Project Authorization vehicle. Projects under this award will generally have milestones corresponding to the construction and close-out phases.

***3. Award Performance Period***

The Award performance period is August 1, 2009 through September 30, 2012. This is the period during which Award recipients can incur obligations or costs against this Award.

***4. Direct and Indirect Costs***

The cost principles of OMB A-122 (2 CFR Part 230) are applicable to this Award. Indirect costs are allowable under this award per the 2009 Memorandum of

Understanding (MOU) between the Denali Commission and ANTHC. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

### ***5. Budget and Program Revisions***

The Administrative Circular, 2 CFR Part 215 (formerly OMB A-110), applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that ANTHC will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overruns or savings, project schedule delays, or changes in the project scope or changed site conditions.

### ***6. Payments***

Payments under this Award will be made by electronic transfer in response to a "Request for Advance or Reimbursement", Standard Form 270 (SF-270) submitted by the ANTHC. Requests for reimbursements may be made as needed or at the end of each quarter but should be submitted no later than 30 days after the federal quarter. The SF-270 must be submitted to the Denali Commission via fax, e-mail ([finance@denali.gov](mailto:finance@denali.gov)) or mail in order for payment to be processed. The form is available on the OMB website: [http://www.whitehouse.gov/OMB/grants/grants\\_forms.html](http://www.whitehouse.gov/OMB/grants/grants_forms.html). Payments shall be made in accordance with 2 CFR Part 215. Please contact the Denali Commission's Finance Specialist at (907) 271-1414 for further information about submitting this form. **No interest will be accrued on these funds.**

### ***7. Reporting***

Three forms of project reporting are required under this Award, listed below. ANTHC shall submit reports using the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is August 1, 2009 to December 30, 2009 and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
  - i. Total project funding, including both Denali Commission funding and other project funding sources.
  - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
  - iii. Updated schedule and milestone information as identified in the Scope of Work

- iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
- v. **Construction Projects** Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from “before,” showing the situation before the start of construction, to “during” showing work proceeding on the project, and “after” to show the finished project. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
- vi. **Non-Construction Projects** For minor repair and renovation projects or other non-construction projects, pictures should be provided of before and after, or photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.

Requirements for the design and construction projects on this award have been documented through the approval of a business plan and supporting documentation on file at the Denali Commission. This is considered the Commission's document of record for the approved scope of work for the project as well as the intended utilization of the facility.

Each project's performance shall be monitored and measured on a quarterly basis using the documentation of record (business plan) as a reference point, making updates as necessary. The following items shall be monitored and reported in the narrative of the quarterly report:

1. Projected energy costs or any changes to original operations plan,
2. Status of supporting infrastructure in coordination with construction project,
3. Status of proposed staffing, training, recruitment and retention strategies,
4. Adherence to local and regional plans for improving health care, and
5. Other issues as identified by the program manager.

ANTHC is responsible for reporting all information to the Commission on behalf of sub-recipients. The Commission may wish to request additional information as it deems necessary.

- b. **Lessons Learned:** In addition to reporting quarterly, ANTHC shall meet a minimum of twice annually with the Commission to report lessons learned and project status. These meetings may include community participation and can be held via teleconference, to be initiated by ANTHC.

- c. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] “Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs.”

Recipients shall also submit single audits to the Federal Clearinghouse as designated by OMB. Information can be found on the following web-site:  
<http://harvester.census.gov/sac/>

## **8. *Project/Award Close-Out***

The project close-out report shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The project close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) “Progress Reports”
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall display a sign that acknowledges the Government's support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.
- d. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The format for acknowledgement of the Government's support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Denali Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

## **9. *Public Policy Laws and Assurances***

Award Recipients are required to comply with the public policy laws and assurances on SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

#### ***10. Non-Compliance with Award Conditions***

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

#### ***11. Program Manager, Financial Manager & Other Contact Information***

<b>Denali Commission</b>	<b>Alaska Native Tribal Health Consortium</b>
Ms. Nancy Merriman Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1779 Fax: 907-271-1415 E-mail: <a href="mailto:nmerriman@denali.gov">nmerriman@denali.gov</a>	Mr. Paul Morrison Health Facilities Manager Div of Environmental Health & Engineering Health Facilities Engineering 1901 Bragaw St., Suite 200 Anchorage, AK 99508-3440 Phone: 907-729-3520 Fax: 907- 729-4048 Email: <a href="mailto:pmorriso@anthc.org">pmorriso@anthc.org</a>
Ms. Betty Sorensen Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: <a href="mailto:bsorensen@denali.gov">bsorensen@denali.gov</a>	Ms. Allison Bland Financial Contact 1901 Bragaw St., Suite 200 Anchorage, AK 99508-3440 Phone: 907-729-3712 Fax: 907- 729-4048 Email: <a href="mailto:abland@anthc.org">abland@anthc.org</a>